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Sector 181
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of : Initial Patent Examination
: Division
Giles et al. : Box Missing Parts
:
Serial No.: 09/853,164 : Date: July 18, 2001
:
Filed: May 10, 2001 :

For: METHOD AND APPARATUS FOR SERVING CONTENT FROM A
SEMI-TRUSTED SERVER

To: Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Filing of Missing Parts of Application Under 37 CFR 1.63
(in duplicate);
3. Declaration and Power of Attorney Form;
4. Notice to File Missing Parts of Application (return copy); and
5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class
mail in an envelope addressed to:

**Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, DC 20231**

on July 19, 2001

Margaret McCabe
(Print name of person certifying)

Docket No. YOR920010426US1

Margaret McCabe
(Signature of person certifying)



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**RESPONSE TO FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR
1.63
FILING DECLARATION AND POWER OF ATTORNEY
FILING ASSIGNMENT**

To: Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, D.C. 20231

Sir:

On May 10, 2001, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the three inventors. This copy has been altered only to indicate the serial number and filing date of the subject application.

Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.



The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed July 13, 2001, is also attached as per your instructions.

Respectfully submitted,

by 

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IBM Thomas J. Watson Research Center
Intellectual Property Law Department
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Attorney Docket: YOR920010426US1

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,164	05/10/2001	James Ryan Giles	YOR920010426US1

CONFIRMATION NO. 5911

FORMALITIES LETTER



OC000000006293985

Intellectual Property Law Dept.
IBM Corporation
P.O. Box 218
Yorktown Heights, NY 10598

Date Mailed: 07/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/25/2001 SHINASS1 00000068 090468 09853164

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